



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,695	11/30/2001	Otto Z. Zhou	032566-018

CONFIRMATION NO. 1828

## FORMALITIES LETTER



\*OC000000007470678\*

Ronald L. Grudziecki  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

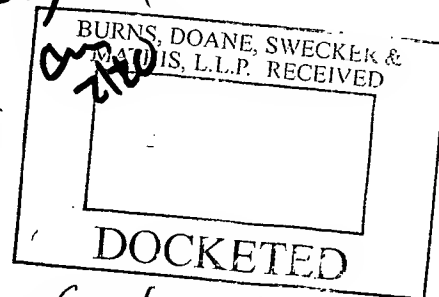
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

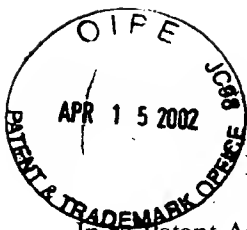
04/16/2002 SFELEXE1 00000076 09996695

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65.00 OP



Declaration due  
FEB 20 02  
4/13/02



Patent #3  
Attorney's Docket No. 032566-018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Otto Z. ZHOU et al.

Application No.: 09/996,695

Filed: November 30, 2001

For: DEPOSITION METHOD FOR  
NANOSTRUCTURE MATERIALS

)  
)  
) Group Art Unit: 1743  
)  
) Examiner: Unassigned  
)  
)  
)  
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)

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(b) dated February 13, 2002, enclosed please find:

☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the  
surcharge of ☒ \$65.00 (205) ☐ \$130.00 (105) as set forth in 37 C.F.R.

§ 1.16(e);

☐ Note that the inventor(s) identified on the currently filed Combined  
Declaration and Power of Attorney are different from those listed on the  
application filing papers.

☐ a Request for Refund;

☐ a Petition for Extension of Time;

☐ a verified English translation of the Application, and the \$130.00 (139) fee as set  
forth in 37 C.F.R. § 1.17(k);

☐ an Assignment document and a separate check for the \$40.00 (581) Assignment  
recordation fee;

☐ drawings for publication;

☒ other Information Disclosure Statement;

☒ a check in the amount of \$ 65.00 for the fee due for missing parts; and

[ ] charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.

[ ] Small entity status is hereby claimed.

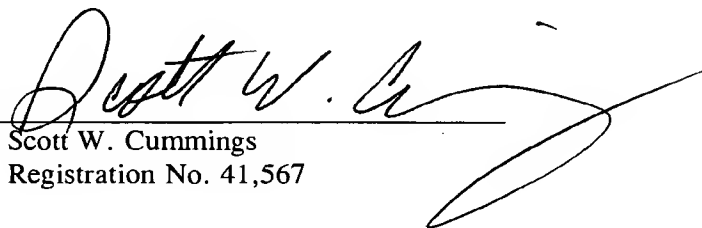
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By:

  
\_\_\_\_\_  
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Date: April 15, 2002